

# **A WAFER BONDED VIRTUAL SUBSTRATE AND METHOD FOR FORMING THE SAME**

## *Related Applications*

5           The present application is a continuation-in-part application related under  
35 USC 120 to copending U.S. Patent Application serial no. 10/125,133 filed on April  
17, 2002, which is incorporated herein by reference, and which in turned claimed priority  
to U.S. Provisional Patent Application, serial no. 60/284,726, filed on April 17, 2001  
which claimed priority pursuant to 35 USC 119.

## **Background of the Invention**

### *1. Field of the Invention*

The invention relates to the field of semiconductor processing of films and  
in particular to processing nonsilicon films on heterostructures.

### *2. Description of the Prior Art*

15           The optoelectronics, photovoltaics, telecommunications, and LED industries have  
a need for a substrate technology that allows them to use a low-cost readily available  
substrate like Si as a mechanical support for a thin film of optoelectronic material on  
20       which to fabricate a device. Some obvious advantages are improved mechanical  
strength and superior thermal conductivity relative to a bulk optoelectronic material.

          Group III-V semiconductor layered structures grown on bulk germanium  
substrates have been used in the prior art to create high efficiency triple-junction solar  
cells with efficiencies greater than 30%. However, these are prohibitively expensive for

all but space applications, because the Ge substrate constitutes a large portion of this cost.

The optoelectronics, photovoltaics, telecommunications, and LED industries would benefit from adopting a substrate technology that allows them to use a low-cost readily available substrate like Si as a mechanical support for a thin film of optoelectronic nonsilicon material on which to fabricate a device. Some obvious advantages are improved mechanical strength and superior thermal conductivity relative to a bulk optoelectronic material.

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### **Brief Summary of the Invention**

The invention is a method of forming a virtual substrate comprised of an optoelectronic device substrate and handle substrate comprising the steps of: initiating bonding of the device substrate to the handle substrate; improving or increasing the mechanical strength of the device and handle substrates; and thinning the device substrate to leave a single-crystal film on the virtual substrate such as by exfoliation of a device film from the device substrate.

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The method further comprises the step of providing a pre-bonding treatment to allow the removal of a thin film.

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The method still further comprises the step of cleaning and/or passivating the device and/or handle substrates to facilitate bonding.

The step of providing a pre-bonding treatment to allow the removal of a thin film comprises the step of ion implanting the device substrate to inject an amount of gas species into the device substrate to form the internally passivated surfaces and to

create an internal pressure necessary to exfoliate a layer from the device substrate upon annealing. In the illustrated embodiment ion implanting the device substrate comprises implanting  $H^+$  or a combination of  $H^+$  and  $He^+$ .

5 The step of cleaning and/or passivating the device and handle substrates to facilitate bonding comprises passivating the surface of both the device and handle substrates to allow hydrophobic wafer bonding. The step of passivating the surface of both the device and handle substrates comprises the step of enabling the formation of an intimate covalent bond between a device film, exfoliated from the device substrate, and the handle substrate in the virtual substrate to allow for the ohmic, low-resistance  
10 interface electrical properties. The step of cleaning and/or passivating the device and handle substrates to facilitate bonding comprises the step of eliminating adsorbed water on the surface of the device and handle substrates by means of a low temperature bake in an inert atmosphere or in vacuum. The step of eliminating adsorbed water on the surface of the device and handle substrates by means of a low temperature bake  
15 comprising baking at a temperature such that the vapor pressure of water is below the partial pressure of water in the surrounding ambient.

The method further comprises the step of disposing a selected material X on the device substrate to enable an X-to-handle-substrate material bond with the handle substrate when an exfoliated film from the device substrate is bonded with the  
20 handle substrate. Material X is any material which is compatible with the claimed methodology and can be determined empirically. The method also further comprises the step disposing a selected material X on the handle substrate to enable an X-to-device-substrate material bond when an exfoliated film from the device substrate is bonded

with the handle substrate. The method still further comprises the step of disposing a selected material X on both the device and handle substrates to enable an X-to-X material bond when an exfoliated film from the device substrate is bonded with the handle substrate.

5                   The step of cleaning and/or passivating the device and handle substrates to facilitate bonding comprises the step of removing residual particle contamination on the bonding surfaces of the device and handle substrates. The step of removing residual particle contamination comprises the step of impinging an inert gas on the substrate at an elevated temperature to remove the particles by combined physical  
10 impact and thermophoretic lifting effect. Or, alternatively the particles can be removed by exposing the substrates to ultra-sonic or mega-sonic vibrations in a liquid bath.

                  The step of initiating bonding of the device substrate to the handle substrate comprises the step of controlling the temperature at which the device and handle substrates are brought into contact with each other to select the strain state,  
15 whereby substrate performance in high-temperature processes is improved, or a device operation temperature strain selected to adjust a device property such as bandgap or carrier mobility. The step of initiating bonding of the device substrate to the handle substrate comprises the step of holding the temperature of the device and the temperature of handle substrates when brought into contact with each other at different  
20 magnitudes to select the strain state.

                  In one embodiment, after initiating bonding of the device substrate to the handle substrate, the mechanical strength of the bond of the device and handle

substrates is improved and the ion implantation layer transfer process is thermally activated during which uni-axial pressure is applied to the virtual substrate.

The mechanical strength of the device and handle substrates is improved by using multiple pressure-temperature increments, or continuously varying pressure-  
5 temperature combinations. In another embodiment the mechanical strength of the bond of the device to the handle substrate is improved by applying higher pressures to ensure better substrate-substrate contact at lower temperatures prior to exfoliation where the higher pressures would at higher temperatures subdue exfoliation, and then reducing the pressure to a lower level prior to annealing at higher temperatures so that  
10 exfoliation is uninhibited.

The method further comprises the step of removing an upper portion of the device film exfoliated from the device substrate, whereby a smoother and less defect prone surface is provided for subsequent optoelectronic device fabrication. The step of removing an upper portion of the device film exfoliated from the device substrate  
15 comprises chemically polishing the upper portion with a damage selective etch, or mechanically polishing the upper portion or both. In one embodiment the method further comprises the step of performing homoepitaxy to leave a smooth defect-free surface. In still a further embodiment the method further comprises the step of processing the virtual substrate as a template for growth of an optoelectronic device through hetero-  
20 epitaxy.

The invention also includes the virtual substrate which is fabricated according to the forgoing methods.

While the apparatus and method has or will be described for the sake of grammatical fluidity with functional explanations, it is to be expressly understood that the claims, unless expressly formulated under 35 USC 112, are not to be construed as necessarily limited in any way by the construction of “means” or “steps” limitations, but  
5 are to be accorded the full scope of the meaning and equivalents of the definition provided by the claims under the judicial doctrine of equivalents, and in the case where the claims are expressly formulated under 35 USC 112 are to be accorded full statutory equivalents under 35 USC 112. The invention can be better visualized by turning now to the following drawings wherein like elements are referenced by like numerals.

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### **Brief Description of the Drawings**

Fig. 1 is a block diagram illustrated two alternative fabrication strategies for a virtual substrate.

Fig. 2 is a block diagram illustrated categories of film materials which are  
15 used for a virtual substrate according to the invention.

Figs. 3a and 3b are diagrams illustrating respectively the ion implantation and the resulting structure in the device substrate.

Figs. 4a and 4b are diagrams illustrating respectively the device and handle substrate stack following ion implantation and initial bonding and the wafer bonded  
20 virtual substrate following the anneal and layer exfoliation.

Figs. 5a and 5b are diagrams illustrating respectively the post-layer transfer device substrate comprised of the near surface ion implantation damage layer and the undamaged bulk, and the removal of the damage by etching from the bulk device substrate allowing the process to be repeated.

Figs. 6a and 6b are diagrams illustrating respectively the surface modification of the implanted device substrate with either a film of the same chemical identity as the handle substrate, and a wafer bonded substrate stack using this technique showing the device substrate.

5            Fig. 7 is a graph of the strain state as a function of temperature for a Ge/Si wafer bonded virtual substrate.

Fig. 8 is a graph of the strain state as a function of temperature for an InP/Si wafer bonded virtual substrate.

10           Fig. 9 is a graph of the strain state as a function of temperature for an GaAs/Si wafer bonded virtual substrate.

Fig. 10 is a graph of a wafer bonding temperature-pressure curve as a function of time as used in the bond annealing process.

15           Figs. 11a and 11b are diagrams illustrating respectively the wafer bonded virtual substrate following the anneal and layer exfoliation and the wafer bonded virtual substrate following a damage removal etch, polish or epitaxial growth on the device film.

Fig. 12 is a graph of the rms surface roughness of a transferred InP device film as a function of time for 1:2:1, 1:2:2, 1:2:4, and 1:2:5 dilutions of the HCl:H<sub>3</sub>PO<sub>4</sub>:H<sub>2</sub>O<sub>2</sub> etch chemistry

20           Figs. 13a and 13b are diagrams illustrating respectively the completed wafer bonded virtual substrate and a wafer bonded virtual substrate with an epitaxially grown device fabricated on the device thin film.

Fig. 14 is a diagram showing an optoelectronic structure grown on a wafer bonded virtual substrate consisting of the device film, the bonded interface, the handle

substrate, and a strain compensation layer deposited on the back surface of the substrate.

The invention and its various embodiments can now be better understood by turning to the following detailed description of the preferred embodiments which are presented as illustrated examples of the invention defined in the claims. It is expressly understood that the invention as defined by the claims may be broader than the illustrated embodiments described below.

### **Detailed Description of the Preferred Embodiments**

The fabrication 104 of virtual wafer bonded substrates could take two possible approaches as diagrammatically illustrated in the block diagram of Fig. 1. These approaches are the integration of a thin device film with a bulk substrate prior to fabricating a functional device indicated by block 100, or the device film can be transferred to the handle substrate following the fabrication of a functional logic device in the handle substrate and/or the fabrication of a functional optoelectronic device in the optoelectronic device substrate as depicted by block 102.

This specification summarizes a number of embodiments of the fabrication of optoelectronic virtual substrates. We begin with a summary of the technology generally employed and device structures for which the virtual substrate product can be used.

Next, more material process steps are described in the order that they appear in the fabrication process.



## *Process And Product Overview*

For the purpose of the specification the term “device substrate” 10 is the optoelectronic substrate from which a thin film 12 will be removed. The term “handle substrate” 14 is defined in the specification to refer to the substrate that is used as a mechanical support for the device film 12, namely the remainder of device substrate 10 after removal of film 12. The term “virtual substrate” 16 is defined to be the completed structure of a thin device film 12 on a handle substrate 14.

The materials of interest for device substrate 10 for the discussion below can be considered all materials that are relevant to wafer bonded virtual substrate device film materials for opto-electronic, high-gain device fabrication as diagrammatically illustrated diagrammatically in Fig. 2: III/V compound semiconductors (i.e. GaAs, InP, GaN, etc.), II/VI semiconductors (i.e. CdTe, etc.), group IV semiconductors (i.e. Ge for GaAs family growth), and optically important Ferroelectric oxides (i.e. LiNbO<sub>4</sub>, BaTiO<sub>4</sub>, etc.).

The handle substrate 14 will generally be Si, which is abundantly available and has desirable electrical, mechanical, and thermal properties. However, low-cost insulating substrates (i.e. glass, sapphire, etc.) might also be employed as handle substrate 14.

A generic process for fabricating such virtual substrates 16 comprises the following steps:

- 1) The device substrate 10 and handle substrate 14 may need pre-bonding treatment to allow the removal of a thin film 12 (i.e. ion-implantation as diagrammatically depicted in Fig. 3a).

2) The device substrate 10 is cleaned and/or passivated to facilitate bonding.

3) Bonding is initiated as diagrammatically shown in Fig. 4a.

4) The bond is strengthened to improve the mechanical strength of the device substrate 10 and handle substrate 14.

5) The device substrate 10 is thinned to leave a single-crystal film 12 on the finished virtual substrate 16 as shown in Fig. 4b for an ion-implanted substrate.

6) In the case of ion implantation induced layer exfoliation, the device substrate 10 from which the device film 12 was derived can be reprocessed by a means of surface polishing to allow the reuse of the substrate 10 to transfer another device film as illustrated in Fig.4b.

Consider the concepts used for the fabrication of optoelectronic virtual substrates 16. These will be listed in the order in which they would appear in the generic process described above.

### *Process Steps*

#### *1) Ion Implantation*

Prior to bonding, ion implantation of the device substrate 10 is performed to inject a necessary amount of gas species into the substrate to form the internally passivated surfaces and internal pressure necessary to exfoliate a layer from the substrate upon annealing as diagrammatically depicted in Fig. 3a, which illustrates pre-bonding ion

implantation of the device substrate 10 with an ion beam 11, creating a modified structure as shown in Fig. 3b comprising a device thin film 12, an ion damaged layer for film transfer 13, and the largely unaffected bulk of the device substrate 10 which is now called the handle substrate 14.

5            This process is generally performed with  $H^+$  or a combination of  $H^+$  and  $He^+$ . However, other gas species may be employed to produce an intra-substrate etch process to assist in the exfoliation of the layer. For a given device substrate material there is both a minimum implantation temperature to avoid amorphization and a needed implantation temperature, namely a minimum required dose relationship for this  
10    process.

a.         *$H^+$  Implantation* – A sufficient dose of  $H^+$  is implanted to allow film exfoliation upon annealing. This dose is a function of:

- Implant energy
- Implant temperature
- 15        •        Device substrate material
- Film exfoliation anneal temperature

b.         *$H^+/He^+$  Co-implantation* – A sufficient total dose of  $H^+$  and  $He^+$  is implanted to allow film exfoliation upon annealing. The concept of this approach being that the H plays a chemical role of passivating internal  
20    surfaces, while the chemically inert He efficiently migrates to internal surfaces to provide pressure, and creates more damage per implanted ion than H increasing the internal surface density. The necessary dose is a function of:

- Implant energy
- Implant temperature
- H/He ratio
- Device substrate material
- Film exfoliation anneal temperature

c. *Etchant implantation* – In addition to or replacement of  $H^+$  implantation, chemical species known to etch a given material can be implanted to create internally trapped chemical species that are volatile and cause exfoliation upon annealing. The chemical species chosen will be material specific according to known etchant properties or empirical experience.

## 2) *Surface Passivation*

Following implantation and prior to bonding, it is necessary to passivate the surface of both the device and handle substrates 10, 14 to allow hydrophobic wafer bonding. The specific chemical process necessary is device substrate specific. The purpose of this step is to enable the formation of an intimate covalent bond between the device film 12 and handle substrate 14 in the finished virtual substrate 16 allowing for the possibility of ohmic, low-resistance interface electrical properties. A necessary step in enabling this finished device structure will be the elimination of adsorbed water on the surface by means of a low temperature bake in an inert atmosphere or in vacuum. The bake should reach a temperature such that the vapor pressure of water at that temperature is well below the partial pressure of water in the surrounding ambient.

a. *Group IV Passivation* – The Group IV elemental semiconductors, particularly Ge, are rendered hydrophobic by the use of a dilute HF etching process. This leaves a predominantly hydride terminated surface.

5                    b. *Group III/V Passivation* – The Group III/V compound semiconductors can be rendered hydrophobic by compound-specific chemical treatments to leave a hydrophobically passivated surface for bonding.

10                   c. *Group II/VI Passivation* – The Group II/VI compound semiconductors can be rendered hydrophobic by compound-specific chemical treatments to leave a hydrophobically passivated surface for bonding.

15                   d. *Ferroelectric Oxides* – Applications involving ferroelectric oxides are fundamentally different than those for the optoelectronic materials from the elemental and compound semiconductors. For this reason handle substrate materials will be chosen for their electrical and refractive properties, but there will generally be no need to attempt hydrophobic wafer bonding to the insulating ferroelectric thin films. Thus, surface passivation will generally focus on forming a thin oxide on both the  
20 device and handle substrates 10, 14.

### 3) *Surface Modification*

Another enabling technology for extending this process to a wide range of optoelectronic materials is the use of a deposited surface modification layer 18 of arbitrary thickness to change the nature of the physical interaction between the substrates 10, 14 as depicted in Figs. 6a and 6b. This can be done in one of three ways, where X stands for any type of composition compatible with the disclosed method:

- a. Deposition of a layer 18 of material X on the device substrate 10 to enable an X-handle material bond.
- b. Deposition of a layer 18 of material X on the handle substrate 14 to enable an X-device material bond.
- c. Deposition of a layer 18 of material X on both substrates to enable an X-X bond.

This technology enables the integration of a wide range of optoelectronic materials by mastering bonding with a material which is compatible or amendable to the disclosed process, which for the moment is referenced simply as material X. The generic process is illustrated in Figs. 6a and 6b. Fig. 6a illustrates the surface modification of the implanted device substrate with either a crystalline or amorphous film 40 of the same chemical identity as the handle substrate 14. Fig. 6b illustrates a wafer bonded substrate stack using this technique showing the device substrate 10, the ion implanted damage region 13, the device thin film 12, the deposited bond mediating film 40, the bonded interface 42, and the handle substrate 14.

More specific applications of this technique are:

d. *Epitaxial Si bonding layer* – This technique involves epitaxially growing a strained thin film of Si on the device substrate material. In this embodiment material X is strained thin film Si. Through doing this an intimate and maximally strong bond can be ensured between the device material and the Si epitaxial layer. The device substrate 10 with the strained Si epitaxy is then implanted through the epitaxial layer (not shown) in preparation for wafer bonding and layer exfoliation. For material systems utilizing Si handle substrates 14 this would allow direct Si-Si wafer bonding using well established passivation techniques.

e. *Amorphous Si bonding layer* – This technique involves depositing a thin layer of amorphous Si (not shown) at low temperature on the device substrate 10 to enable the use of typical Si surface preparation chemistries. In this embodiment material X is amorphous Si. This process could be performed either prior to or after ion implantation of the device substrate 10. For material systems utilizing Si handle substrates 14 this would allow direct Si-Si wafer bonding using well established passivation techniques.

#### 4) *Particle Removal*

Following surface passivation, it may be necessary to remove residual particle contamination on the bonding surfaces of the device and handle substrates 10 and 14. This has been efficiently done by performing a clean with a CO<sub>2</sub> particle jet 20 as

depicted in Figs. 4a and 4b. Fig. 4a is a diagram of a device substrate 10 and handle substrate 14 stack following ion implantation and initial bonding, showing the undamaged bulk device substrate 10, the ion implanted damage layer 13, the device thin film 12, the wafer bonded device/handle interface 42, and the handle substrate 14.

5 Fig. 4b is a diagram showing the wafer bonded virtual substrate 16 following the anneal and layer exfoliation, and showing the undamaged bulk device substrate 10 with its ion implanted damaged surface region 13. Also shown is the wafer bonded virtual substrate comprised of the ion implantation damaged surface region 13 of the device film 12, the undamaged transferred device film 12, the wafer bonded interface 42, and the handle  
10 substrate 14. The substrates 10 and/or 14 is held at an elevated temperature and a throttled gas/particle jet of CO<sub>2</sub> is impinged on the surface of substrates 10, 14 removing particles by a combined physical impact and thermophoretic lifting effect.

This has been demonstrated for Si, Ge, and InP by maintaining the substrates at a temperature greater than 50°C during application of the CO<sub>2</sub>.

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#### 5) *Elevated Temperature Bond Initiation*

When bonding dissimilar materials there is generally a coefficient of thermal expansion mismatch between the two materials resulting in a temperature-dependent strain state of the device thin film 12 in the virtual substrate 16 that is governed by the  
20 equation

$$\gamma(T) = \int_{T_0}^T \Delta\alpha(T')dT'$$

where  $\Delta\alpha(T)$  is the difference in the thermal expansion coefficients  $\alpha$  between the two substrates as a function of temperature,  $T$ , and where  $T_0$  is the temperature of the



zero strain condition, typically assumed to be the bond initiation temperature. Thus, by controlling the temperature at which two substrates are brought into contact, the strain state at temperatures of interest can be engineered. This could be advantageous for improving substrate performance in high-temperature processes, or a device operation temperature strain could be engineered to adjust a key device property such as bandgap or carrier mobility. The following descriptions describe the general types of strain temperature-strain dependences that could be achieved as a function of the sign of  $\Delta\alpha(T)$ .

$$\Delta\alpha(T) = \alpha_{handle}(T) - \alpha_{device}(T)$$

Such that positive values of strain indicate a film in tension and negative values of strain indicate a film in compression.

a.  $\Delta\alpha(T) > 0$ :

1. *Room Temperature Bonding* – In this case the film will be in tension at elevated processing temperatures. This will lead to changes in the lattice matching in heteroepitaxy on the virtual substrate and a tendency to concave substrate bowing.

2. *Elevated Temperature Bonding* – In this case the film will have a zero strain condition at the bonding temperature such that tensile strain and concave wafer bowing will be reduced at higher processing temperatures. Likewise, the film 12 will be in compressive strain at room temperature and likely at device operating temperatures leading to convex wafer bow. This could change device operation and

enable design of novel devices based on strain control of materials parameters.

b.  $\Delta\alpha(T) < 0$ :

1. Room Temperature Bonding – In this case the film will be in compression at elevated temperatures. This will lead to changes in the lattice matching in heteroepitaxy on the virtual substrate and a tendency to convex substrate bowing.

2. *Elevated Temperature Bonding* – In this case the film will have a zero strain condition at the bonding temperature such that compressive strain and convex bowing will be reduced at higher processing temperatures. Likewise, the film will be in tensile strain at room temperature and likely at device operating temperatures leading to concave wafer bow. This could change device operation and enable design of novel devices based on strain control of materials parameters. Most materials of interest for the wafer bonded virtual substrates described belong to this category.

a. *Ge/Si Bonding* – Fig. 7 is a graph which illustrates the predicted strain in Ge/Si bonding as a function of temperature for substrates bonded at various values of  $T_0$ . The film compression at high temperatures can be reduced by initiation bonding at higher temperatures,  $T_0$ .

b. *InP/Si Bonding* – Fig. 8 is a graph which illustrates the predicted strain in InP/Si bonding as a function of temperature for

substrates bonded at various values of  $T_0$ . Again, the film compression at high temperatures can be reduced by initiation bonding at higher temperatures,  $T_0$ .

c. *GaAs/Si Bonding* – Fig. 9 is a graph which illustrates the predicted strain in GaAs/Si bonding as a function of temperature for substrates bonded at various values of  $T_0$ . Once again, the film compression at high temperatures can be reduced by initiation bonding at higher temperatures,  $T_0$ .

#### 6) *Dissimilar Temperature Bond Initiation*

For some desired engineered strain states, no single elevated bond temperature will enable the fabrication of that device. Likewise, for materials with very similar coefficients of thermal expansion strain engineering will be difficult. To further enable control of strain at a desired temperature, bonding could be initiated between substrates held at different temperatures. In this way, the thermo-mechanical strain state could be more freely controlled or artificially built into the finished structure. In this case the temperature dependent strain state is given by

$$\gamma(T) = \int_{T_0}^T \Delta\alpha(T')dT' + \gamma_0$$

where the value  $\gamma_0$  is the strain built into the bonded structure upon bond initiation and is given by

$$\gamma_0 = \int_{T_0}^{T_d} \alpha_d(T')dT' + \int_{T_h}^{T_0} \alpha_h(T')dT'$$

In this expression,  $T_d$  and  $T_h$  are the temperatures of the device substrate 10 and the handle substrate 14 respectively at the instant of bond initiation. The temperature  $T_0$  is the effective bond initiation temperature. The dissimilar temperatures of the substrates at bond initiation make this term difficult to determine.  $T_0$  must have a value between  $T_d$  and  $T_h$  and will depend upon the experimental apparatus used in fabrication of the virtual substrate 16 and can be determined experimentally. The built-in strain approaches

$$\gamma_0 = \int_{T_h}^{T_d} \alpha(T') dT'$$

for device and handle substrates 10, 14 with very similar coefficients of linear expansion. Bonding at different wafer temperatures can be conducted in the following circumstances.

a.  $\Delta\alpha(T) > 0$ :

1.  $T_d > T_h$  – These conditions enable the addition of a positive stress component causing the device film to be under increased tension at elevated temperatures.

2.  $T_d < T_h$  – These conditions enable the addition of a negative stress component reducing the high temperature tensile stress, but creating a greater low temperature compressive stress.

b.  $\Delta\alpha(T) < 0$ :

1.  $T_d > T_h$  – These conditions enable the addition of a positive stress component causing the device film to be under a reduced degree of compressive stress at high temperatures.

2.  $T_d < T_h$  – These conditions enable the addition of a negative stress component increasing the high temperature compressive stress, but creating a reduced low temperature tensile stress.

c.  $\Delta\alpha(T) = 0$ :

1.  $T_d > T_h$  – A temperature independent tensile stress can be imparted on the device film in this way.

2.  $T_d < T_h$  – A temperature independent compressive stress can be added to the device film.

#### 7) *Annealing Under Pressure to Strengthen Bonding and Exfoliate the Device Layer –*

After the device and handle substrates 10, 14 have been bonded, it is necessary to use a thermal cycle to improve bond strength and to activate the ion implantation layer transfer process. By performing this cycle under pressure, thermo-mechanical strain can be accommodated in the bonded bulk substrate stack. Additionally, bonding is strengthened by means of improved substrate-substrate contact. A bonding process using multiple pressure-temperature steps or even a continuously varying pressure-temperature curve can be used to optimize the effectiveness of pressure in the process.

Specifically, at lower temperatures prior to exfoliation, higher pressures can be employed to ensure better substrate-substrate contact, but these pressures would at higher temperatures subdue exfoliation. By reducing the pressure to a lower level prior to annealing to high temperatures, exfoliation is uninhibited.

a. *Variable Pressure Cycle* – One possible embodiment is to utilize independently varied pressure and temperature to optimize the bonding process. At low temperatures, high pressures are applied to strengthen the bond. At high temperatures the pressure is then reduced to avoid the suppression of layer exfoliation in the device substrate. A representative process is illustrated in the graph of Fig. 10 where the wafer bonding temperature-pressure curve is shown as a function of time in the bond annealing process.

b. *Single Pressure Cycle* – The bonding process is also improved by applying a uni-axial load to the bonded pair for the duration of the anneal. In this process it is essential that the load be small enough to avoid the suppression of blistering.

#### 8) *Device Layer Modification*

Following the transfer of the device layer 10 in the ion implantation induced layer transfer process, the near surface region of the device film 12 is both rough and defect rich. This layer must be controllably removed to leave a surface that is useful for subsequent processing to fabricate an optoelectronic device as shown in Figs. 11a and 11b. Depending on the device layer 10 this can be accomplished by:

a. *Wet Chemical Polishing* – This process uses a device-film-dependent etch to controllably remove the ion implantation induced damage layer 13 of the wafer bonded virtual substrate 16, while

simultaneously smoothing the surface of the transferred layer. Etches for specific materials are given below.

1. Ge/Si:

i.  $\text{HF}:\text{H}_2\text{O}_2:\text{H}_2\text{O}$  – This etch can be performed at various dilution ratios  $x:y:z$  at various temperatures.

ii.  $\text{HF}:\text{HNO}_3:\text{C}_2\text{H}_4\text{O}_2:\text{H}_2\text{O}$  – This etch can be performed at various dilution ratios  $w:x:y:z$  at various temperatures.

iii.  $\text{H}_2\text{O}_2:\text{H}_2\text{O}$  – This etch can be performed at various dilution ratios  $y:z$  at various temperatures.

2. InP/Si:

i.  $\text{HCl}:\text{H}_3\text{PO}_4:\text{H}_2\text{O}_2$  – This etching solution has been successfully used in ratios of 1:2:2 and 1:2:4. The  $\text{H}_2\text{O}_2$  acts as an oxidizing agent and the mixture of  $\text{HCl}$  and  $\text{H}_3\text{PO}_4$  etches the oxide. The combination of oxidation followed by etching creates a smoothened surface and removes the implantation damage.

Fig. 12 is a graph which shows both the surface roughness as a function of time for various etch dilutions.

b. Chemical Mechanical Polishing – This utilizes both a chemical and a mechanical slurry to etch through implantation damage and leave a smooth surface.

1. Ge/Si – A colloidal silica slurry in a  $\text{KOH}$  chemistry can be used to polish the substrate.

2. InP/Si – A colloidal silica slurry in a sodium hypochlorite solution has been shown to smooth the surface of these virtual substrate materials.

c. Homoepitaxial Smoothing – Even in circumstances when chemical etching removes the implantation damage, but doesn't leave an optimally smooth surface for subsequent heteroepitaxy, homoepitaxy of the device film material on the etched sample has been shown to reduce surface roughness. This can be performed as the first step in the growth of a heteroepitaxial structure on the wafer bonded virtual substrate.

#### 9) *Epitaxial Heterostructure Growth*

The finished virtual substrate 16 is meant to serve as a template for growth of an optoelectronic device through hetero-epitaxy. Through careful device layer modification, epitaxy of a wide range of optoelectronic devices is made possible. A representative image of such a structure is shown in Figs. 13a and 13b. Fig. 13a is a diagram which shows the completed wafer bonded virtual substrate 16 comprised of a thin device film 12, a wafer bonded interface 42 and a handle substrate 14. Fig. 13b is a diagram which shows a wafer bonded virtual substrate 16 with an epitaxially grown device 40 fabricated on the device thin film 12.

#### 10) *Strain Compensation Layer*

One potential challenge in implementing wafer bonded virtual substrates in the fabrication of devices in or on the transferred layer by standard processing such as



MOCVD, diffusion, implantation, and lithography is the possibility of wafer bow due to the presence of thermal expansion derived strain in the transferred layer. A practical approach to minimizing this effect would be to deposit a strain compensation layer on the back surface of the handle substrate 14 as shown in Fig. 14. Fig. 14 is a diagram

5 which schematically shows an optoelectronic structure 40 grown on a wafer bonded virtual substrate 16 comprised of the device film 12, the bonded interface 42, the handle substrate 14, and a strain compensation layer 18 deposited on the back surface of the substrate.

This concept would be implemented by depositing a thin film 18 on the back  
10 surface of the handle substrate 14 either prior to or after the transfer of the device layer 10 to the handle substrate 14. The strain compensation layer 18 must have the same sign of  $\Delta\alpha$  relative to the handle substrate as the device film 12. The zero bow condition is not a zero strain condition, but rather a condition in which the strain energy of the device film 12 and the strain compensation layer 18 are exactly matched providing no  
15 driving force for substrate deformation. The material and deposition technique can be chosen to minimize the fabrication cost associated with this processing step. The strain energy associated with a thin film 12 is increased with substrate diameter, film strain, and film thickness. The strain compensation layer material 18, deposition temperature, and thickness can be chosen to tailor the zero bow process temperature. The following  
20 are examples of how strain compensation could be performed for several materials systems:

- a. Ge/Si – The simplest case would be to deposit a film of Ge on the back surface of the Si handle substrate.

b. InP/Si – Rather than deposit InP on the back of the handle, a thin film of Ge could be utilized because of its ease of deposition.

c. GaAs/Si – As in the InP/Si case, Ge would make a good strain compensation layer.

5 d. Other Materials – For all of the systems mentioned above, a low-cost material that is easily deposited is a suitable strain compensation layer, provided that the sign of  $\Delta\alpha$  is appropriate.

Many alterations and modifications may be made by those having ordinary skill in the art without departing from the spirit and scope of the invention. Therefore, it must be understood that the illustrated embodiment has been set forth only for the purposes of example and that it should not be taken as limiting the invention as defined by the following claims. For example, notwithstanding the fact that the elements of a claim are set forth below in a certain combination, it must be expressly understood that the invention includes other combinations of fewer, more or different elements, which are disclosed in above even when not initially claimed in such combinations.

The words used in this specification to describe the invention and its various embodiments are to be understood not only in the sense of their commonly defined meanings, but to include by special definition in this specification structure, material or acts beyond the scope of the commonly defined meanings. Thus if an element can be understood in the context of this specification as including more than one meaning, then its use in a claim must be understood as being generic to all possible meanings supported by the specification and by the word itself.

The definitions of the words or elements of the following claims are, therefore, defined in this specification to include not only the combination of elements which are literally set forth, but all equivalent structure, material or acts for performing

substantially the same function in substantially the same way to obtain substantially the same result. In this sense it is therefore contemplated that an equivalent substitution of two or more elements may be made for any one of the elements in the claims below or that a single element may be substituted for two or more elements in a claim. Although  
5 elements may be described above as acting in certain combinations and even initially claimed as such, it is to be expressly understood that one or more elements from a claimed combination can in some cases be excised from the combination and that the claimed combination may be directed to a subcombination or variation of a subcombination.

10 Insubstantial changes from the claimed subject matter as viewed by a person with ordinary skill in the art, now known or later devised, are expressly contemplated as being equivalently within the scope of the claims. Therefore, obvious substitutions now or later known to one with ordinary skill in the art are defined to be within the scope of the defined elements.

15 The claims are thus to be understood to include what is specifically illustrated and described above, what is conceptionally equivalent, what can be obviously substituted and also what essentially incorporates the essential idea of the invention.